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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/760,557 | 01/21/2004 | Wolf-Georg Forssmann | P60448US1 |

JKW

CONFIRMATION NO. 8650

00136
 JACOBSON HOLMAN PLLC
 400 SEVENTH STREET N.W.
 SUITE 600
 WASHINGTON, DC 20004

FORMALITIES LETTER



OC000000012528706

Date Mailed: 05/05/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/08/2004 HLE333 00000098 10760557

FILED UNDER 37 CFR 1.53(b)

01 FC:2001 385.00 OP
 02 FC:2051 65.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$450 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

CK
Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney Docket No. P60448US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of FORSSMANN et al

Application No. 10/760,557

Filed January 21, 2004

For HUMAN CIRCULATING CYTOKINE CC-1

TRANSMITTAL

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith please find:

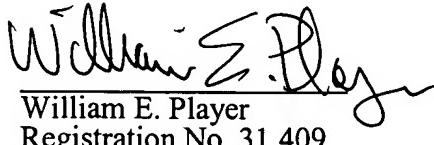
- PTO Notice (copy), response/request under Rule 821(e), including Sequence Listing, and Amendment entering Sequence Listing.
- Petition for extension of time
- Fee payment Payment Form PTO-2038 (credit card) for \$ 660 is attached.
- Charge \$ * to Deposit Account No. 06-1358.
- Small entity status established in connection with the subject application.

| Fee Calculation | | | | | |
|--|--------------|---------------------|---------------|------------------|------------------|
| Excess Claims | | | | | |
| | Nº of Claims | Highest Nº Paid For | Excess Claims | Small Entity Fee | Large Entity Fee |
| Total | * | ⊖ * = | 0 | ⊗ \$9 = \$ | ⊗ \$18 = \$ |
| Ind. | * | ⊖ * = | 0 | ⊗ \$42 = \$ | ⊗ \$84 = \$ |
| () Multiple Dependent Claims (1 st Filing) | | | ⊕ \$140 = | ⊕ \$280 = | \$ |
| Excess Claims Fee | | | | \$ | \$ |
| Extension of Time Fee | | | | \$210 | \$ |
| Other: | | | | \$450 | \$ |
| Total Fee Due | | | | \$660 | \$0 |

- If a petition for extension of time is necessary, but not enclosed, then this acts as the petition. Charge any fees additionally necessary in connection herewith to Deposit Account No. 06-1358.

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Date: September 7, 2004

By


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